

# Calcium: computing in *exact* real and complex fields

Paper: <https://arxiv.org/abs/2011.01728>

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# Computing in $\mathbb{R}$ and $\mathbb{C}$

- Arithmetic:  $x + y$ ,  $x - y$ ,  $xy$ ,  $x/y$
- Comparisons and predicates:  $x = y$ ,  $x < y$ ,  $x \in \mathbb{Q}$ , ...
- Number parts:  $\text{sgn}(x)$ ,  $|x|$ ,  $\text{Re}(x)$ ,  $\bar{x}$ ,  $\arg(x)$ ,  $\lfloor x \rfloor$ , ...
- Functions and constants:  $i$ ,  $\pi$ ,  $\gamma$ ,  $\sqrt{x}$ ,  $e^x$ ,  $\log(x)$ ,  $\zeta(x)$ , ...
- Limits:  $\lim_{N \rightarrow \infty} f(N)$ ,  $\int_a^b f(x)dx$ ,  $f'(x)$ , ...

“Computable” real number  $x$ : there is a program that computes  $x_n \in \mathbb{Q}$  with  $|x - x_n| < 2^{-n}$

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... but what if we put all of that together?

## Idea

- Numbers as field elements  $z \in \mathbb{Q}(a_1, \dots, a_n)$
- Computable *extension numbers*  $a_k$  are generated as needed
- Extension numbers are defined symbolically, can be algebraic or transcendental
- Algebraic relations handled using reduction by an ideal

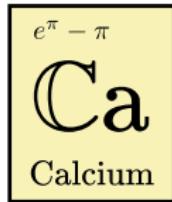
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## Precursors and inspiration

- Symbolic systems (Maple, Mathematica, SymPy, etc.)
  - But more structured, and with stronger semantics
- Magma's ACF
  - Not a convenient impl. of  $\overline{\mathbb{Q}}$ : embedding is random
- Sage's `QQbar`
  - Mixed symbolic expressions / number fields
  - Univariate fields only; severe performance problems
- Elementary fields (Richardson's algorithm)

# Calcium



- C library for exact real and complex numbers
- Documentation: <http://fredrikj.net/calcium/>
- LGPL v2.1+ license
- Current version: 0.3-git, 30,000 lines of code
- Includes a Python interface (experimental)
- Dependencies:
  - Flint (polynomial arithmetic, factoring, LLL)
  - Arb (arbitrary-precision ball arithmetic)
  - Antic (number field arithmetic)
  - GMP, MPFR

## Some examples

$$\frac{\pi^2 - 9}{\pi + 3} = \pi - 3$$

```
>>> from pyca import *
>>> (pi**2 - 9) / (pi + 3)
0.141593 {a-3 where a = 3.14159 [Pi]}
>>> _ == pi - 3
True
```

## Some examples

$$\frac{\varphi^{100} - (1 - \varphi)^{100}}{\sqrt{5}} = F_{100}$$

```
>>> phi = (sqrt(5)+1)/2
>>> (phi**100 - (1-phi)**100)/sqrt(5)
3.54225e+20 {354224848179261915075}
```

## Some examples

$$\sqrt{5 + 2\sqrt{6}} = \sqrt{2} + \sqrt{3}$$

```
>>> sqrt(5 + 2*sqrt(6))
3.14626 {a where a = 3.14626 [Sqrt(9.89898 {2*b+5})], b =
2.44949 [b^2-6=0]}
>>> sqrt(2) + sqrt(3)
3.14626 {a+b where a = 1.73205 [a^2-3=0], b = 1.41421 [b
^2-2=0]}

>>> sqrt(5 + 2*sqrt(6)) - sqrt(2) - sqrt(3)
0e-1126 {a-c-d where a = 3.14626 [Sqrt(9.89898 {2*b+5})],
b = 2.44949 [b^2-6=0], c = 1.73205 [c^2-3=0], d =
1.41421 [d^2-2=0]}
>>> sqrt(5 + 2*sqrt(6)) == sqrt(2) + sqrt(3)
True
```

## Some examples

$$4 \arctan\left(\frac{1}{5}\right) - \arctan\left(\frac{1}{239}\right) = \frac{\pi}{4}$$

```
>>> 4*atan(ca(1)/5) - atan(ca(1)/239)
0.785398 + 0e-34*I {(a*c-4*b*c)/2 where a = 0e-35 +
0.00836815*I [Log(0.999965 + 0.00836805*I {(239*c
+28560)/28561})], b = 0e-34 + 0.394791*I [Log(0.923077
+ 0.384615*I {(5*c+12)/13})], c = I [c^2+1=0]}

>>> pi/4
0.785398 {(a)/4 where a = 3.14159 [Pi]}

>>> 4*atan(ca(1)/5) - atan(ca(1)/239) - pi/4
0
```

## Some examples

$$\operatorname{erf}(e^{\pi i/3}) - \operatorname{erfc}(e^{-2\pi i/3}) = -1$$

$$\frac{\Gamma(\pi + 1)}{\Gamma(\pi)} = \pi$$

```
>>> erf(exp(pi*i/3)) - erfc(exp(-2*pi*i/3))
-1
```

```
>>> gamma(pi+1) / gamma(pi) == pi
True
```

## Some examples

$$e^{\pi\sqrt{163}} \neq 640320^3 + 744$$

```
>>> exp(pi*sqrt(163))
2.62537e+17 {a where a = 2.62537e+17 [Exp(40.1092 {b*c})] ,
b = 3.14159 [Pi], c = 12.7671 [c^2-163=0]}

>>> ca(640320**3 + 744)
2.62537e+17 {262537412640768744}

>>> exp(pi*sqrt(163)) == (640320**3 + 744)
False

>>> exp(pi*sqrt(163)) - (640320**3 + 744)
-7.49927e-13 {a-262537412640768744 where a = 2.62537e+17 [
Exp(40.1092 {b*c})], b = 3.14159 [Pi], c = 12.7671 [c
^2-163=0]}
```

## Some examples

$$i^i = \exp\left(\frac{\pi}{\left(\left(\sqrt{-2}\right)^{\sqrt{2}}\right)^{\sqrt{2}}}\right)$$

```
>>> i**i
0.207880 {a where a = 0.207880 [Pow(1.00000*I {b},
1.00000*I {b})], b = I [b^2+1=0]}

>>> exp(pi / (sqrt(-2)**sqrt(2))**sqrt(2))
0.207880 {a where a = 0.207880 [Exp(-1.57080 {(-b)/2})],
b = 3.14159 [Pi]}

>>> i**i - exp(pi / (sqrt(-2)**sqrt(2))**sqrt(2))
0
```

## Some examples

$$e^{\log(A)} = A, \quad A = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

```
>>> A = ca_mat([[0,0,1],[0,1,0],[1,0,0]])
>>> B = A.log()

>>> B / (pi * i)
ca_mat of size 3 x 3
[ 0.500000 {1/2}, 0, -0.500000 {-1/2}]
[          0, 0,           0]
[-0.500000 {-1/2}, 0, 0.500000 {1/2}]

>>> B.exp()
ca_mat of size 3 x 3
[0, 0, 1]
[0, 1, 0]
[1, 0, 0]
```

But it's not perfect...

```
>>> A = ca_mat([[0,0,1], [0,2,0], [-1,0,0]])
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Traceback (most recent call last):
...
NotImplementedError: unable to compute matrix exponential
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Equality is always decidable over  $\overline{\mathbb{Q}}$

Better algorithms for transcendentals: hard

## Equality of algebraic numbers given by huge symbolic expressions

large symbolic expressions algebraic\_number

I calculated a matrix whose first entry is a huge numerical value:

asked Jul 24 '0

creyesm1992  
61 ● 5

updated Aug 5 '0

slelievre  
12431 ● 111 ● 121 ● 247  
<http://carva.org/samue...>

```
N = 1/16*(44*(7*sqrt(2) - 10)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) + 2*(11*(7*sqrt(2) - 10)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) - 10*(63*sqrt(2) - 89)*sqrt(sqrt(2) + 2) - (3*(3*sqrt(2) - 4)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) - (85*sqrt(2) - 122)*sqrt(sqrt(2) + 2)))*sqrt(-sqrt(2) + 2) + 2*((3*(3*sqrt(2) - 4)*sqrt(-17*sqrt(2) + 26) - 85*sqrt(2) + 122)*sqrt(-sqrt(2) + 2) - 11*(7*sqrt(2) - 10)*sqrt(-17*sqrt(2) + 26) + 630*sqrt(2) - 890)*sqrt(sqrt(sqrt(2) + 2))*sqrt(3*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 3) - 40*(63*sqrt(2) - 89)*sqrt(sqrt(2) + 2) - 4*(3*(3*sqrt(2) - 4)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) - (85*sqrt(2) - 122)*sqrt(sqrt(2) + 2))*sqrt(-sqrt(2) + 2) + (22*(5*sqrt(2) - 7)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) + (11*(5*sqrt(2) - 7)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) - 5*(89*sqrt(2) - 126)*sqrt(sqrt(2) + 2) - (3*(2*sqrt(2) - 3)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) - (61*sqrt(2) - 85)*sqrt(sqrt(2) + 2)))*sqrt(-sqrt(2) + 2) + 2*((3*(2*sqrt(2) - 3)*sqrt(-17*sqrt(2) + 26) - 61*sqrt(2) + 85)*sqrt(-sqrt(2) + 2) - 11*(5*sqrt(2) - 7)*sqrt(-17*sqrt(2) + 26) + 445*sqrt(2) - 630)*sqrt(sqrt(sqrt(2) + 2) - 1))*sqrt(3*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 3) - 10*(89*sqrt(2) - 126)*sqrt(sqrt(2) + 2) - 2*(3*(2*sqrt(2) - 3)*sqrt(sqrt(2) + 2)*sqrt(-17*sqrt(2) + 26) - (61*sqrt(2) - 85)*sqrt(sqrt(2) + 2))*sqrt(-sqrt(2) + 2) + 4*((3*(2*sqrt(2) - 3)*sqrt(-17*sqrt(2) + 26) - 61*sqrt(2) + 85)*sqrt(-sqrt(2) + 2) - 11*(5*sqrt(2) - 7)*sqrt(-17*sqrt(2) + 26) + 445*sqrt(2) - 630)*sqrt(sqrt(sqrt(2) + 2) - 1))*sqrt(-12*sqrt(2) - 2*sqrt(-sqrt(2) + 2) - 2*sqrt(-17*
```

(This goes on for 12 screens.)

I have to check if this value is equal to  $-(1 - \text{abs}(M))^2$ .

where

```
M = -(4*(6*sqrt(2) + sqrt(-sqrt(2) + 2) + sqrt(-17*sqrt(2) + 26) - 8)*sqrt(3*sqrt(2) + sqrt(-sqrt(2) + 2) - 5) - sqrt(3*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 3)*(-24*I*sqrt(2) - 4*I*sqrt(-sqrt(2) + 2) - 4*I*sqrt(-17*sqrt(2) + 26) + 32*I) - ((sqrt(2)*sqrt(-sqrt(2) + 2) + sqrt(2)*sqrt(-17*sqrt(2) + 26) - 8*sqrt(2) + 12)*sqrt(3*sqrt(2) + sqrt(-sqrt(2) + 2) - 5) + (I*sqrt(2)*sqrt(-sqrt(2) + 2) + I*sqrt(2)*sqrt(-17*sqrt(2) + 26) - 8*I*sqrt(2) + 12*I)*sqrt(3*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 3))*sqrt(-12*sqrt(2) - 2*sqrt(-sqrt(2) + 2) - 2*sqrt(-17*sqrt(2) + 26) + 24) - ((24*I*sqrt(2) + 4*I*sqrt(-17*sqrt(2) + 26) - 32*I)*sqrt(-sqrt(2) + 2) + 8*I*(3*sqrt(2) - 4)*sqrt(-17*sqrt(2) + 26) - 228*I*sqrt(2) + 328*I)*sqrt(sqrt(sqrt(2) + 2) - 1))/(4*(6*sqrt(2) + sqrt(-sqrt(2) + 2) + sqrt(-17*sqrt(2) + 26) - 8)*sqrt(3*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 3)*sqrt(sqrt(sqrt(2) + 2) - 1) + sqrt(3*sqrt(2) + sqrt(-sqrt(2) + 2) - 5)*(-24*I*sqrt(2) - 4*I*sqrt(-sqrt(2) + 2) - 4*I*sqrt(-17*sqrt(2) + 26) + 32*I)*sqrt(sqrt(sqrt(2) + 2) - 1) - 4*(6*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 8)*sqrt(-sqrt(2) + 2) + ((I*sqrt(2)*sqrt(-sqrt(2) + 2) + I*sqrt(2)*sqrt(-17*sqrt(2) + 26) - 8*I*sqrt(2) + 12*I)*sqrt(3*sqrt(2) + sqrt(-sqrt(2) + 2) - 5)*sqrt(sqrt(sqrt(2) + 2) - 1) - (sqrt(2)*sqrt(-sqrt(2) + 2) + sqrt(2)*sqrt(-17*sqrt(2) + 26) - 8*I*sqrt(2) + 12*I)*sqrt(3*sqrt(2) + sqrt(-17*sqrt(2) + 26) - 3)*sqrt(sqrt(sqrt(2) + 2) - 1))*sqrt(-12*sqrt(2) - 2*sqrt(-sqrt(2) + 2) - 2*sqrt(-17*sqrt(2) + 26) + 24) - 8*(3*sqrt(2) - 4)*sqrt(-17*sqrt(2) + 26) + 228*sqrt(2) - 328)
```

so i run the following cell:

```
bool(N == -(1 - abs(M))^2)
```

Sadly it keeps loading for hours (at 6 hours I stopped the kernel), and i do not know if this last cell gives me true or false.

```
fredrik@agm:~/src/calcium$ build/examples/huge_expr -ca
Evaluating N...
cpu/wall(s): 0.204 0.203
Evaluating M...
cpu/wall(s): 0.03 0.03
Evaluating E = -(1-|M|^2)^2...
cpu/wall(s): 0.01 0.01
N ~ -0.16190853053311203695842869991458578203473645660641
E ~ -0.16190853053311203695842869991458578203473645660641
Testing E = N...
cpu/wall(s): 89.161 89.173

Equal = T_TRUE

Total: cpu/wall(s): 89.405 89.418
virt/peak/res/peak(MB): 60.31 68.37 34.34 42.30
```

## Some examples

$$\mathbf{x} - \text{DFT}^{-1}(\text{DFT}(\mathbf{x})) = \mathbf{0}$$

Test vector:  $x_n = \sqrt{n+2}$ ,  $n = 0, \dots, N-1$

| $N$ | Sage $\overline{\mathbb{Q}}$ | Sage SR | SymPy | Maple    | Mathematica | Calcium |
|-----|------------------------------|---------|-------|----------|-------------|---------|
| 8   | 5.3                          | 0.50    | 2.8   | 0.046    | 0.11        | 0.017   |
| 16  | $> 10^3$                     | 46      | 24    | 0.26     | 0.58        | 0.090   |
| 20  | $> 10^3$                     | 154     | fail  | 1.1      | 2.3         | 0.17    |
| 100 | $> 10^3$                     | fail    | fail  | $> 10^3$ | $> 60$      | 38      |

More test vectors in paper:

$$n+2 \quad \log(n+2) \quad e^{2\pi i/(n+2)} \quad \frac{1}{1 + (n+2)\pi} \quad \frac{1}{1 + \sqrt{n+2}\pi}$$

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- $K_{\text{formal}} = \text{Frac}(R/I)$   
Formal field

**Theorem:**  $K \cong K_{\text{formal}}$

**Theorem:** if  $I = \langle f_1, \dots, f_r \rangle$  is known,  $K$  is an effective field  
(proof: Gröbner bases)

## Notable special cases

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Transcendental number fields

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Algebraic number fields

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$$K = \mathbb{Q}(a) \cong \mathbb{Q}[X]/\langle f(X) \rangle$$

Mixed fields

Example:  $K = \mathbb{Q}(\log(i), \pi, i) \cong \text{Frac}(\mathbb{Q}[X_1, X_2, X_3]/I)$   
where  $I = \langle 2X_1 - X_2 X_3, X_3^2 + 1 \rangle$

## Defining extension numbers

- Algebraic numbers (e.g.  $i$ ,  $\sqrt{2}$ ,  $e^{2\pi i/5}$ ): canonical representation by minimal polynomial over  $\mathbb{Q}$  + root enclosure
- Symbolic functions and constants:  $f(z_1, \dots, z_n)$
- Black-box numerical evaluation

## Not so fast...

**Problem:** we may not be able to compute the  $I$  in

$$\mathbb{Q}(a_1, \dots, a_n) \cong \text{Frac}(\mathbb{Q}[X_1, \dots, X_n]/I)$$

Example:

- $\mathbb{Q}(\pi) \cong \mathbb{Q}(X_1)$
- $\mathbb{Q}(e) \cong \mathbb{Q}(X_2)$
- Is  $\mathbb{Q}(\pi, e) \cong \mathbb{Q}(X_1, X_2)$ ?  
(Open problem: Schanuel's conjecture.)

Example:

- $\mathbb{Q}(a_1, \dots, a_n)$  with algebraic  $a_k \rightarrow$  impossibly large polynomials

## Working with an incomplete ideal

Instead of computing  $I$ , compute some *reduction ideal*  $I_{\text{red}} \subseteq I$ :

$$\mathbb{Q}(a_1, \dots, a_n) \stackrel{?}{\cong} \text{Frac}(\mathbb{Q}[X_1, \dots, X_n]/I_{\text{red}})$$

Can use the map  $\mu$  (numerical evaluation) as certificate of nonvanishing for given  $z \in K$ .

## Working with an incomplete ideal

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Can use the map  $\mu$  (numerical evaluation) as certificate of nonvanishing for given  $z \in K$ .

**Algorithm:** test if  $z = 0$  where  $z \cong p/q$

- If  $p \equiv 0 \pmod{I_{\text{red}}}$ , return True.
- If it can be certified that  $I_{\text{red}} = I$ , return False.
- Using ball arithmetic, compute an enclosure  $E$  with  $\mu(p) \in E$ . If  $0 \notin E$ , return False.
- Attempt to find and prove a new set of relations  $J$  with  $J \subseteq I$ , and set  $I_{\text{red}} \leftarrow I_{\text{red}} \cup J$ . Repeat.

# Ideal construction

Heuristics to construct  $I_{\text{red}}$ :

- Direct algebraic relations:  $a_k \in \overline{\mathbb{Q}}$ ,  $a_k = \sqrt{z}$ , etc.
- Log-linear relations

$$m_1 \log(a_1) + \dots + m_k \log(a_k) = 0$$

- LLL gives basis matrix of potential relations
- Verification through recursive computations in simpler fields
- Same idea for multiplicative relations  $a_1^{m_1} \cdots a_k^{m_k} = 1$
- Functional equations:  $\Gamma(z+1) = z\Gamma(z)$ , etc.
- Other algebraic relations: resultants, Vieta's formulas, etc.

# Quotient ring and fraction field arithmetic

Practical concerns about implementing arithmetic in  
 $\mathbb{Q}(a_1, \dots, a_n) \cong \text{Frac}(\mathbb{Q}[X_1, \dots, X_n]/I)$

- Ordering monomials: lex, deglex, etc.
  - Cost of Gröbner basis computation, size of polynomials
- Ordering extension numbers:  $e^\pi \succ \pi \succ i$
- Normalizing fractions
  - Always remove content in  $\mathbb{Q}[X_1, \dots, X_n]$ ?
  - Rationalizing denominators

## Non-canonical fractions

Problem:  $f, g$  reduced modulo  $I$  and coprime in  $\mathbb{Q}[X_1, \dots, X_n]$   
 $\not\Rightarrow \frac{f}{g}$  in canonical form

```
>>> a = exp(pi)
>>> b = exp(-pi)
>>> a*b
1
```

```
>>> a
23.1407 {a where ...}
>>> (a**3 - 2*a + b) / (a**2 + b**2 - 2)
23.1407 {(a^3-2*a+b)/(a^2+b^2-2) where ...}
```

```
>>> (a**3 - 2*a + b) / (a**2 + b**2 - 2) - a
0
```

## Solutions and workarounds

- Always rationalize the denominator
  - Practical in simple cases
- Compute polynomial GCD over  $\mathbb{Q}(\alpha)$  instead of  $\mathbb{Q}$ 
  - Only applicable in some cases, potentially expensive
- General algorithm for simplifying or canonicalizing fractions modulo an ideal: Monagan and Pearce (2006)
  - Uses Gröbner bases over modules, potentially expensive
- Use algorithms that minimize divisions

Determinant of  $A_{i,j} = \sqrt{i+j-1}, 1 \leq i, j \leq 5$

$$\mathbb{Q}(\sqrt{7}, \sqrt{6}, \sqrt{5}, \sqrt{3}, \sqrt{2}) \stackrel{?}{\cong} \text{Frac}(\mathbb{Q}[a, b, c, d, e]/\langle a^2 - 7, b^2 - 6, c^2 - 5, d^2 - 3, e^2 - 2, b - de \rangle)$$

Gaussian elimination:

$$\begin{aligned} & (156829688*a*c*d*e - 221693656*a*c*d + 271638392*a*c*e - 383986048*a*c \\ & + 274164856*a*d*e - 387945384*a*d + 474865368*a*e - 671936784*a + 361353464*a*c \\ & *c*d*e - 510531104*c*d + 625886152*c*e - 884270248*c + 959654264*d*e \\ & - 1358274640*d + 1662163432*e - 2352590040) / (18200*a*c*d*e - 25732*a*c*d \\ & + 31512*a*c*e - 44565*a*c + 324056*a*d*e - 458284*a*d + 561288*a*e - 793807*a \\ & + 847420*c*d*e - 1198107*c*d + 1467772*c*e - 2075132*c + 1068396*d*e \\ & - 1511729*d + 1850596*e - 2618400) \end{aligned}$$

Bareiss algorithm (fraction-free Gauss):

$$\begin{aligned} & (-28*a*c*d*e + 48*a*c*d + 20*a*c*e - 116*a*c + 460*a*d*e - 520*a*d + 332*a*e - 532*a \\ & + 348*c*d*e - 516*c*d - 332*c*e + 120*c + 548*d*e - 388*d + 1660*e - 2144) / (c*d \\ & - 2*c + 4*d*e - 3*d - 4) \end{aligned}$$

Cofactor expansion or Berkowitz algorithm:

$$\begin{aligned} & -4*a*c*d - 20*a*c*e - 24*a*c - 4*a*d*e + 8*a*d + 136*a - 28*c*d*e - 116*c*d - 88*c*e + 64*c \\ & + 112*d*e + 164*d - 60*e + 244 \end{aligned}$$

## Things to do

- Lots of basic implementation work
- Efficient Gröbner basis computation
- Better algorithms for dealing with fractions fields
- Better algorithms for algebraic number fields
- Implement Richardson's algorithm (perhaps simplified)
- Good algorithms for real/complex parts, real trigonometric functions, etc.
- Speed up integer relations
- Efficient extension  $\mathbb{Q}(a_1, \dots, a_{n-1}) \rightarrow \mathbb{Q}(a_1, \dots, a_n)$